

# Change of Registration Form

(Use this form for non-retirement accounts only)

Please mail completed form to:  
State Street Global Advisors  
PO Box 701  
Milwaukee, WI 53201-0701

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This form should be used to change the registration of an existing account. If you have any questions, please call 800-242-0134.

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## 1. Current Account Registration

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Name of Taxable Owner / Minor / Trust \_\_\_\_\_ Social Security / Tax ID Number \_\_\_\_\_

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Name of Joint Owner / Custodian / Trustee \_\_\_\_\_ Social Security Number \_\_\_\_\_

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Name of Joint Owner / Trustee \_\_\_\_\_ Social Security Number \_\_\_\_\_

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Permanent Street Address \_\_\_\_\_ Daytime Telephone Number \_\_\_\_\_

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City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

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Fund Name \_\_\_\_\_ Account Number \_\_\_\_\_

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Fund Name \_\_\_\_\_ Account Number \_\_\_\_\_

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Fund Name \_\_\_\_\_ Account Number \_\_\_\_\_

**Note:** If the address listed above differs from the address currently in our records, by signing this form you authorize us to update all accounts associated with the Social Security number(s) or Tax ID number(s) provided with the new address.

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## 2. Reason for Registration Change (please select one)

- Individual or Joint Account to Trust Account (complete Sections 3 and 8)
- Remove or add an account owner or trustee (complete Sections 4 and 8)
- Relinquish UTMA/UGMA account to taxable owner who has reached the age of majority (complete Sections 5 and 8)
- Change custodian on UTMA/UGMA account due to resignation of the current custodian (complete Sections 6 and 8)
- Reregister shares to an eligible individual (complete Sections 7 and 8)

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### 3. Individual or Joint Account to Trust Account

To transfer your account to a trust account, we require a New Account Application completed and signed for the registration of the trust account and a complete copy of your trust agreement. In place of your trust agreement, we will accept a notarized Certificate of Trust created by an attorney. ***The trust documentation submitted must include the beneficiary information for the trust.***

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Name of Trust

Social Security / Tax ID Number

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Name of Trustee

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Name of Trustee

Please attach a separate sheet if there are more than two trustees.

**Signature Requirements:**

- All current account owners must sign in Section 8.
- If a current account owner is not listed as a trustee of the trust, they must obtain a signature guarantee in Section 8.

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### 4. Remove or Add an Account Owner or Trustee

For Trust accounts, a complete copy of your trust agreement or a notarized Certificate of Trust is required. ***The trust documentation submitted must include the beneficiary information for the trust.***

If you are adding a new joint owner, a New Account Application is required.

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Account Owner / Trustee to Remove

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Account Owner / Trustee to Remove

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Account Owner / Trustee to Add

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Account Owner / Trustee to Add

**Signature Requirements:**

- All current account owners must sign in Section 8.
- Any account owner or trustee to be removed must obtain a signature guarantee in Section 8.

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### 5. Relinquish UTMA/UGMA to Taxable Owner (*taxable owner has reached the age of majority*)

Please note that the age of majority for UTMA/UGMA accounts differs by state. The taxable owner may complete and sign an application to update any of the options on the account. **Please complete the spaces below with the information for the taxable owner (former minor).**

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Taxable Owner's Name

Date of Birth (MM/DD/YYYY)

Telephone Number

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Permanent Street Address

City, State, Zip Code

**Signature Requirements:**

- The custodian must sign in Section 8 and obtain a signature guarantee, a signature validation, or a notary stamp from a financial institution.

## 6. Change Custodian on UTMA/UGMA (current custodian is resigning)

Please note that the new custodian must be an adult member of the minor's family, the guardian for the minor, or a trust company. Please complete the information below for the new custodian.

**Note:** In compliance with the USA PATRIOT Act, all mutual funds are required to obtain the information requested below for all registered account owners and all authorized individuals. This information is used to verify your true identity. We will return the form if any of this information is missing, and we may request additional information for verification purposes.

\_\_\_\_\_  
Name of New Custodian

\_\_\_\_\_  
Social Security / Tax ID Number

\_\_\_\_\_  
Date of Birth (If Applicable)

\_\_\_\_\_  
Permanent Street Address (P.O. Box is Not Acceptable)

\_\_\_\_\_  
Daytime Telephone Number

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

\_\_\_\_\_  
Mailing Address (if applicable, a P.O. Box is acceptable)

\_\_\_\_\_  
Evening Telephone Number

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip Code

### Signature Requirements:

- The current custodian must sign in Section 8 and obtain a signature guarantee, a signature validation, or a notary stamp from a financial institution.
- The new custodian must sign below, or they may submit a separate signed letter of acceptance.

By signing below, I accept the appointment to act as the custodian on the account(s) referenced in Section 1. I also confirm that the information listed above is correct.

\_\_\_\_\_  
Signature of New Custodian

\_\_\_\_\_  
Date

## 7. Reregister Shares to an Eligible Individual

Please refer to the prospectus for more information on eligibility requirements. **A New Account Application is required.**

**Note to individual receiving shares:** For an account using the cost basis method of Average Cost and consisting of reregistered shares acquired at a loss, the fair market value (FMV) of the reregistered shares will be applied as the adjusted basis, unless this section is signed by the eligible individual. If FMV is not accepted, the first-in, first-out cost basis method will be applied unless an alternate method, other than average cost, is provided on the New Account Application.

Dollar amount to be reregistered \$ \_\_\_\_\_

Existing Account Number (Non-IRA) \_\_\_\_\_

**OR**

**OR**

Number of shares to be reregistered \_\_\_\_\_

New Account (a New Account Application must be provided)

\_\_\_\_\_  
Name of Eligible Individual

\_\_\_\_\_  
Social Security Number

\_\_\_\_\_  
Date of Birth (MM/DD/YYYY)

By signing below, I confirm that I do not accept FMV for the reregistered shares. I understand the IRS requirement to utilize the first-in, first-out cost basis method or I have indicated an alternate cost basis method other than average cost on my New Account Application.

\_\_\_\_\_  
Signature of Eligible Individual

\_\_\_\_\_  
Date

Please attach a separate sheet if reregistering to more than one eligible individual.

### Signature Requirements:

- All current account owners must sign in Section 8 and obtain a signature guarantee.

## 8. Signatures and Signature Authentication

Please review the applicable Signature Requirements prior to completing this section.

I certify that all information provided on this Change of Registration Form is accurate and agree to hold U.S. Bank Global Fund Services harmless for any actions taken as a result of the information I have provided. I understand that I am responsible for any tax consequences which may result from the information I have provided.

A signature guarantee or a signature validation may be obtained from an officer of a bank, savings association, credit union, a member firm of a domestic stock exchange, or the Financial Industry Regulatory Authority, that is an eligible guarantor institution. A notary public from a financial institution is also able to provide an acceptable guarantee only if indicated within the **Signature Requirements** for the section(s) you have completed. The notary public's business card or a signed letter from the notary public on the financial institution's letterhead must accompany the form.

Signature of:  Account Owner     Trustee     Custodian     Other \_\_\_\_\_ Date \_\_\_\_\_

Signature of:  Account Owner     Trustee     Custodian     Other \_\_\_\_\_ Date \_\_\_\_\_

Signature of:  Account Owner     Trustee     Custodian     Other \_\_\_\_\_ Date \_\_\_\_\_

Signature Guarantee

**Note:** We suggest you contact your financial institution to verify the documentation required to obtain an acceptable form of signature authentication for your specific situation.