IRA Transfer of Assets Form

Please mail completed form to:
State Street Global Advisors
PO Box 701
Milwaukee, WI 53201-0701

There may be penalties for withdrawing certain investments before their maturity (i.e. certificates of deposit or annuities). Please contact your current custodian or plan administrator prior to submitting this form to determine the applicable time frames and penalties, if any, or if you need a signature guarantee in Section 6 to order this transfer/rollover. U.S. Bank Global Fund Services will initiate your request upon receipt of this form.

1. Investor Information

Name ______________________ Social Security Number ______________________

Address ______________________ Daytime Telephone Number ______________________

City / State / Zip Code ______________________ Evening Telephone Number ______________________

2. Instructions to Current IRA Custodian or Plan Administrator

Please attach a copy of your current account statement.

Current Custodian or Plan Administrator ______________________

Account Number ______________________ Contact Person ______________________ Contact Telephone Number ______________________

Address ______________________ City / State / Zip Code ______________________

Consider this your authorization to redeem my investment and transfer my Traditional IRA, SEP IRA, SIMPLE IRA, Roth IRA, or Inherited IRA, or to directly rollover my qualified retirement plan as directed below: *

❑ All Assets ***or*** ❑ $_________________ ***or*** ❑ _________________%

Please process this request:*
❑ Immediately ***or*** ❑ At maturity_________________ *(month/day/year)*
** If no options are selected, please transfer all assets immediately.*

Instructions for Delivery (*indicate how you want your current Custodian/Trustee to deliver the assets to U.S. Bank Global Fund Services*):

❑ Wire - Funds available immediately upon request, your Custodian/Trustee may charge a fee for this service

❑ Check - Funds may not be available for 12-15 business days

❑ First Class Mail ❑ Overnight Delivery - take fee from my account
❑ Overnight Delivery via Third Party - Charge fee to my ❑ FedEx Account _______________ or ❑ UPS Account _______________

Processing Instructions (*indicate how you want us to initiate your transfer/rollover*):

❑ Standard Processing Service - No Charge, transfer form will be sent via First Class Mail

❑ Overnight Delivery - $15.00 fee, select one of the options below; if no selection if made we will use First Class Mail

- We will overnight your transfer form to your current Custodian/Trustee
- A physical address must be provided, we cannot overnight to a P.O. Box

❑ Use the attached check made payable to U.S. Bank Global Fund Services

❑ Charge the $15.00 fee to my ❑ FedEx Account _______________ or ❑ UPS Account _______________

2. Instructions to Current IRA Custodian or Plan Administrator - *continued*

Type of account being transferred/rolled-over:

❑ Pension ❑ Profit Sharing Plan ❑ 401(k) ❑ 403(b) ❑ Roth 401(k) ❑ Roth 403(b) ❑ Traditional IRA
❑ SEP IRA ❑ SIMPLE IRA ❑ Roth IRA ❑ Inherited IRA ❑ Other ________________________________

❑ **Original Roth IRA funding year (if applicable)**: _________________

❑ **Original SIMPLE IRA funding date (if applicable)**: _________________

Please issue a check representing the assets payable to "Elfun Funds FBO [Shareholder's Name]" along with a copy of this form to the address at the top of the previous page.

3. Investment Selection

A completed and signed Elfun Funds IRA Application must accompany this form to process a transfer or rollover if a new account is being established. The Fund(s) and the allocation(s) specified on the application will be used if they are different from those indicated below.

Fund Name	New	Existing	Account # (if applicable)	Amount		Percentage
____________	❑	❑	____________	$ ______	OR	______ %
____________	❑	❑	____________	$ ______	OR	______ %
____________	❑	❑	____________	$ ______	OR	______ %
____________	❑	❑	____________	$ ______	OR	______ %

4. Required Minimum Distribution (RMD) Age Information

Check one of the following:

❑ I am under RMD age and do not turn RMD age at anytime during this calendar year.

OR

❑ I am RMD age or older and understand that no part of my RMD is eligible for transfer or rollover. I further understand that there may be significant tax penalties if a transfer or rollover of my RMD occurs.

5. Conversion of Traditional IRA to Roth IRA - *optional*

I am converting assets from a Traditional IRA to a Roth IRA. Upon receiving the assets from my current Custodian, I instruct the Fund's transfer agent to invest the proceeds into a new or existing Roth IRA, as indicated in Section 3. I understand this may be a taxable event and that I am solely responsible for all tax consequences of this conversion.

____ ____________________
Signature* Date (MM/DD/YYYY)

***A conversion cannot be processed unless your signature is provided above.**

6. Signature and Certification

I certify that I have established an IRA with the Elfun Funds, of which U.S. Bank, NA, is the Custodian. I agree to contact my present Custodian from whom I am transferring to determine if specific documentation or a signature guarantee is required. I understand that I am responsible for determing my eligibility for all transfers or direct roll-overs. I agree to hold the Custodian harmless aganist any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that the Custodian or its agent cannot provide legal advice and I agree to consult with my own tax professional for advice.

I authorize U.S. Bank Global Fund Services, to act on my behalf in contacting the current custodian or plan administrator to facilitate the transfer of assets/direct rollover.

Signature of Account Owner

Date (MM/DD/YYYY)

Signature Guarantee

Important: Please contact your current Custodian/Trustee to determine if a signature guarantee* is required.

**A signature guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These insitutions include banks, savings associations, credit unions and brokerage firms. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. Please note that a Notary Public Seal or Stamp is not acceptable.*

7. Acceptance / Custodian Authorization

U.S. Bank, NA, hereby accepts its appointment as Custodian of the IRA(s) and upon receipt of assets, will deposit such assets in an Elfun Funds IRA on behalf of the Depositor authorizing this transfer or direct rollover.

U.S. Bank, NA

Gregory Farley

For more information, please call toll-free at 800-242-0134 or visit us on the web at www.ssga.com.